

Plan Name:
 Plan ID

Service Description and Direct Compensation

In addition to investment related compensation, your plan may pay direct fees to Voya in connection with the services we provide. Current direct fees for standard services are shown below. Additional optional services may also be available for which fees are not shown. Please refer to your program agreements for complete detail. Services and charges are subject to change as described in the applicable agreements.

Recordkeeping Services

Voya's recordkeeping services include services related to the maintenance of plan investment options, and may include additional plan administrative support. These services include those listed below. Voya does not act in a fiduciary or investment advisory capacity in the provision of these services.

1099 reporting on participant distributions	Annual plan review
Client relationship management	Communications to help educate, guide and motivate your participants to take full advantage of the benefits of your plan
Comprehensive support to help navigate today's regulatory landscape	Cutting-edge technology to help manage your plan
Daily account valuations and reconciliations	Dedicated Plan Participant Website
Dedicated Plan Sponsor Website	Establishment and maintenance of participant accounts
Fiduciary Investment Guide	Financial education and counseling for terminated or retiring employees
Fund scorecard to help assess performance of funds	Local or online enrollment support
Multiple distribution options	Participant bench-marking tools, calculators and newsletters
Personal Rate of Return via the participant website	QDRO/DRO account maintenance
Receiving and processing periodic contributions, fund transfers and allocation changes	Sample investment policy statements
Sponsor/participant account statements	Support for IRS Form 5500 filings
Support for multiple contribution sources	Support for plan audits
Toll-free telephone access (interactive voice response or live rep)	TPA support
Wide range of pre-screened investment alternatives	

Direct Fees for Standard Recordkeeping Services: None

Sample Document - For Demo Purposes Only

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Optional Services and Fees:

Direct Fees for Optional Recordkeeping Services	Fees	Payment Method
Loan Initiation Fee, one-time charge per loan:	\$100.00	Deducted from participant account
Annual Loan Administration Fee, charged per loan: (Set at loan initiation. Fee amount does not change for duration of loan.)	\$25.00	Deducted from participant account / Billed to Sponsor as directed
In-Service Withdrawal and Hardship Distribution processing, one time charge per disbursement or withdrawal	\$50.00	Deducted from participant account
Separation from Service and Plan Termination Distribution Processing, one-time charge per distribution	\$50.00	Deducted from participant account
Participant-Initiated Wire, per occurrence:	\$50.00	Deducted from participant account
Overnight Mail, per occurrence:	\$50.00	Deducted from participant account
Stop Payment, per occurrence:	\$50.00	Deducted from participant account

Additional Disclosures

Other plan-sponsor services also may be available for additional fees.
